

# HRM CERTIFICATE PROGRAM

## MODULE 2: APPLICATIONS IN HEALTH CARE RISK MANAGEMENT

### FACULTY

**CHRISTOPHER ALLMAN** JD, CPHRM, DFASHRM, DIRECTOR OF RISK MANAGEMENT, COMPLIANCE & INSURANCE, GARDEN CITY HOSPITAL

Christopher Allman is the Director of Risk Management, Compliance and Insurance for Garden City Hospital, a 309-bed community hospital located in Garden City, Michigan, and the Midwest Regional Director of Risk Management for Prime Healthcare Services, the parent company of Garden City Hospital and 45 other hospitals across the U.S.

During his twenty-three years as an attorney, Mr. Allman has focused his career on general health law, including all areas of regulatory compliance on the federal and state levels, healthcare risk management, and litigation. More specifically, Mr. Allman's has provided expertise in areas of strict government regulation, such as Stark, Anti-Kickback Statute, False Claims Act and HIPAA, as those matters become increasingly complex in today's environment. Mr. Allman's clients have included major regional medical centers, small community hospitals and individual physician offices. Mr. Allman is also a frequent lecturer to physician groups, residents, nurses and risk managers on a variety of healthcare topics. He has published articles on a variety of healthcare topics, including HIPAA, licensing matters, e-Discovery, Healthcare Reform and was a contributor to the ASHRM Healthcare Claims and Litigation Playbook.

Mr. Allman is a Certified Professional in Healthcare Risk Management (CPHRM), a Distinguished Fellow of the American Society for Healthcare Risk Management (DFASHRM), a Past President of the Michigan Society of Healthcare Risk Management and has served as the Chair of the Advocacy Task Force for the American Society for Healthcare Risk Management (ASHRM) from 2012-2014, on the ASHRM Finance Committee in 2018 and 2019, and the ASHRM ERM Task Force. In addition to his current activity with the ASHRM, he is also an active member of the State Bar of Michigan – Health Law Section and the American Health Lawyers Association. Mr. Allman graduated from Wayne State University Law School in 1996 and Hope College in 1992.

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**ANN GAFFEY RN, MSN, CPHRM, DFASHRM, PRESIDENT, HEALTHCARE RISK AND SAFETY STRATEGIES**

Ms. Gaffey is the President of Healthcare Risk and Safety Strategies. She is an industry recognized career risk management, quality and patient safety professional with over thirty years of experience in healthcare, having served as the 2016 President of the American Society for Health Care Risk Management. Ann works nationally and internationally to provide innovative consultative services to healthcare organizations across the care continuum to develop, improve and enhance enterprise risk management programs and patient safety programs with emphasis on risk identification, assessment, analysis and prevention as well as risk management and patient safety education. Ms. Gaffey has acute care risk management experience, with responsibilities including managing self-insurance and captive insurance programs, insurance purchasing, claims management, and traditional risk assessment and mitigation activities.

Ann is a frequent national speaker on topics related to risk reduction and management strategies in health care, enterprise risk management, and leadership. Ann has authored articles in the *Journal of Healthcare Risk Management*, co-authored in the *Risk Management Handbook for Healthcare Organizations, 6th edition*, *Healthcare Risk Management Fundamentals*, and authored in other publications.

Ms. Gaffey received her degree from the University of Virginia with a Bachelor of Science in Nursing. She also received her Master of Science in Nursing Leadership and Management from The George Washington University. Ann has achieved the CPHRM and DFASHRM designations. She currently serves as Faculty for the ASHRM Health Care Risk Management Certificate Course and the Enterprise Risk Management Certificate Course.

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**LISA L. HAVENS RN, MSN, JD, CPHRM, FASHRM, SENIOR VICE PRESIDENT, LEGAL COUNSEL & CHIEF RISK OFFICER, BAYLOR SCOTT & WHITE HEALTH**

Lisa Havens is Chief Risk Officer and Senior Vice President Legal Counsel at Baylor Scott & White Health in Texas. Prior to Baylor Scott & White, she was a partner with Bowmer, Courtney, et al an office of Naman, Howell, Smith & Lee, practicing in civil litigation defense including medical malpractice and employment law.

Lisa received her Juris Doctorate Degree from Baylor University School of Law. She received her MSN focused on Clinical Nurse Specialist/Education from the University of Texas Arlington and a BSN from Texas Christian University. Lisa is an Adjunct Professor for the Texas A&M University System Health Science Center. Awards that Lisa has received include: Dallas Women's Lawyers Association Outstanding Corporate Counsel award, 2018; Texas Diversity Council Dallas Top Women in Law, 2018; *Business Insurance* magazine's Risk Manager of the Year 2011; and Bell County Bar Association's Jim Bowmer Lawyer of the Year Award, 2010.

Lisa is certified with the Texas Board of Legal Specialization in Health Law. She is a Fellow member of the American Society for Health Risk Management (FASHRM) and a faculty member of their Healthcare Risk Management (HRM) Certificate Program. Lisa is a Certified Professional in Healthcare Risk Management (CPHRM).

Lisa volunteers with Discipleship Unlimited Women's Prison Ministry, Exodus Ministries' discipleship residential program for mothers and has volunteered with Ralph Wilson Youth Club and Canine Companions for Independence. Lisa is a veteran having served as 1<sup>st</sup> Lieutenant Nurse in the Air Force Reserves.

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**MIKE MIDGLEY RN, JD, MPH, CPHRM, DFASHRM, FACULTY, LOYOLA LAW SCHOOL**

Mike is an experienced healthcare risk manager, nurse, insurance professional, attorney and professor. He began his clinical career as a nurse in intensive care and emergency medicine. Mike started his healthcare risk management career with a captive insurance company in New York City, having dedicated risk and claims management consulting responsibilities to a major tertiary care medical center. He then served as a risk manager at academic medical centers in New York City. Mr. Midgley later worked in the field as a risk management consultant with medical malpractice insurance carriers. He is a Clinical Assistant Professor at Stony Brook University School of Health Technology and Management where he teaches Advanced Practice for Risk and Safety Officers in the Master of Science in Patient Safety Program. Mike is also on faculty for Loyola Law School where he teaches leadership and strategic planning in the healthcare enterprise risk management program and serves as faculty for the American Society for Health Care Risk Management (ASHRM).

Mr. Midgley is a New York licensed attorney and is a member of the NY State Bar Association. Mike earned his Juris Doctor (JD) from Fordham University School of Law. He has a Master of Public Health degree from the University of Medicine and Dentistry of NJ and a Bachelor of Science degree in nursing from Rutgers University School of Nursing. He is a Distinguished Fellow of ASHRM (DFASHRM) for superior achievement and has earned Certified Professional in Healthcare Risk Management (CPHRM) designation.

Mike was the 2017 President of ASHRM. He is a Past President of the Association for Healthcare Risk Management of New York (AHRMNY) where he continues to serve on the Board of Directors. Mike is an active member of the American Health Lawyers Association, American Association of Nurse Attorneys, American Bar Association, NY County Lawyers' Association, American Association of Notaries, American College of Healthcare Executives, Healthcare Leaders of NY and the NJ and the FL and NJ ASHRM Chapters. Mike has authored book chapters and articles on various risk management topics and routinely provides risk management lectures locally, nationally and for international audiences.

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**MAGGIE NEUSTADT JD, CPHRM, VICE PRESIDENT OF RISK AND CLAIMS MANAGEMENT, SAINT LUKE'S HEALTH SYSTEM**

Maggie Neustadt, JD CPHRM is the Vice President of Risk and Claims Management for Saint Luke's Health System in Kansas City, Missouri. As an attorney and clinical risk manager, Maggie directs the loss prevention and patient safety activities for the system's ten hospitals spanning the continuum of care from a 600 bed, urban, academic medical center to several critical access hospitals. In addition, her responsibilities include risk and claims oversight for a large employed physician enterprise, as well as a wide range of ambulatory and post-acute care settings. Maggie also has responsibility for managing loss control and claims for the system's captive insurance program. Prior to joining Saint Luke's Health System in 2011, Maggie was Senior Counsel at Husch Blackwell specializing in defense of healthcare providers involved in professional liability litigation.

Maggie is a frequent speaker on trends in medical malpractice claims avoidance, healthcare risk mitigation issues and healthcare disclosure practices. Maggie was a contributing author of the ASHRM Healthcare Claims and Litigation Playbook and the CPHRM Exam Preparation Guide, and is on the Editorial Review Board for ASHRM. Maggie earned her CPHRM certification in 2017. She is a member of the Missouri Bar Association, Missouri Society of Health Care Attorneys and the Greater Kansas City Society of Hospital Attorneys.

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**LISA RAMTHUN RN, MSN, CPHRM, FASHRM, SENIOR RISK AND PATIENT SAFETY CONSULTANT,  
PREMIER INSURANCE**

Lisa Ramthun, RN, MSN, CPHRM, FASHRM joined Premier Insurance management Services team in 2020 as a Senior Risk and Patient Safety Consultant. She is responsible for providing clinical risk management and patient safety services to American Excess Insurance Exchange (AEIX) members. Lisa has thirty (30) years of experience in healthcare risk management, emergency nursing, pre-hospital nursing and has held a variety of leadership positions. Prior to joining the AEIX and Premier Insurance team Lisa served as a national risk management consultant in her own firm for three (3) years and spent twelve (12) years at St. Joseph health, a multi-billion dollar not for profit integrated healthcare delivery system. During Lisa's tenure as the vice president of risk management for St. Joseph health Lisa had enterprise oversight for risk management, patient safety, captive and claims management, clinical data analytics, clinical research, infection prevention and regulatory readiness programs. Lisa has created strategic and innovative risk management and patient safety programs which improved organizational performance and mitigated risk while helping organizations achieve their strategic vision.

Lisa holds both Bachelor and Master of Science degrees in nursing and is a certified professional in healthcare risk management. Lisa is recognized by the American society of Healthcare Risk management as a Fellow and is a frequent speaker and contributing author on risk management topics. Lisa is a past Board member of the American Society for Healthcare Risk Management and has served in number of volunteer and elected position including chapter president for the Southern California Association for Healthcare Risk Management.