



Applications of Healthcare Risk Management

Reading List

This reading list is supplementary material to the course and is not required reading for participation in the course.

Accreditation Applications Module 2007

Required Reading:

F. Robinson, "Accreditation, Licensure, Certification, and Surveying Bodies," in RISK MANAGEMENT HANDBOOK FOR HEALTHCARE ORGANIZATIONS, FIFTH EDITION, R. Carroll, Editor. San Francisco: Jossey-Bass, 2006.

Recommended Reading:

"Shared Visions – New Pathways." Joint Commission Perspectives, October 2002.

"Nation's Leading Health Care Evaluators – JCAHO and NCQA – Announce A New Program for Accrediting Human Research Programs," January 16, 2003.
www.jcaho.org

Selected Websites – Last Visited September 18, 2006:

Accreditation Association for Ambulatory Healthcare, Inc.
www.aaahc.org

AABB – formerly known as the American Association of Blood Banks
www.aabb.org

Association of American Medical Colleges
www.aamc.org

Accreditation Council for Graduate Medical Education

www.acgme.org

American Osteopathic Association Accreditation

www.aoa-net.org/Accreditation

Association for the Accreditation of Human Research Protection Programs, Inc.

www.aahrpp.org

Canadian Council on Health Services Accreditation

www.cchsa.ca

Canadian Standards Association

www.csa.ca

College of American Pathologists (CAP)

www.cap.org

COLA Program

www.cola.org

Guidelines for Managing Risk in Health Care – Standards Australia

www.riskmanagement.com.au

Joint Commission

www.jointcommission.org

Liaison Committee on Medical Education

www.lcme.org

National Committee for Quality Assurance

www.ncqa.org

**Balancing Rights in the Healthcare Workplace
Applications Module 2007**

U.S. Codes- Title VII of Civil Rights Act, Age Discrimination Act, and Americans with Disability Act
42 USC §2000E-2
29 USC § 206 (D)
29 USC § 621
42 USC § 12101

Family and Medical Leave Act
<http://www.dol.gov/compliance/laws/comp-fmla.htm>
Risk Management Handbook for Health Care Organizations, Vol 3: 167,174-175, 551, 558-560

Uniformed Services Employment and Reemployment Rights Act
<http://www.dol.gov/elaws/vets/userra/userra.asp>
Risk Management Handbook for Health Care Organizations, Vol 3: 175-176

Equal Employment Opportunity Commission
<http://www.eeoc.gov/>
Risk Management Handbook for Health Care Organizations, Vol 1: 94-95,256
Risk Management Handbook for Health Care Organizations, Vol 3: 170-171, 184-185, 467-468, 471

Guideline for Infection Control in Health Care Personnel
<http://www.cdc.gov/ncidod/dhqp/pdf/guidelines/InfectControl98.pdf>

Drug Free Workplace Act
<http://www.dol.gov/elaws/drugfree.htm>
Risk Management Handbook for Health Care Organizations, Vol 3: 182-183

Workplace Violence
<http://www.cdc.gov/niosh/violcont.html>
Risk Management Handbook for Health Care Organizations, Vol 3: 145-148, 179

Workers Compensation
<http://www.dol.gov/dol/topic/workcomp/index.htm>
Risk Management Handbook for Health Care Organizations, Vol 1: 128, 315, 430-431, 460-461
Risk Management Handbook for Health Care Organizations, Vol 2: 529-533,
Risk Management Handbook for Health Care Organizations, Vol 3: 67, 79-96, 556, 558

Clinical Risk Management and Patient Safety Applications Module 2007

Required Reading:

R. Bunting and J. Benton, "Managing Primary Care Risk in the Ambulatory Environment," in RISK MANAGEMENT HANDBOOK FOR HEALTHCARE ORGANIZATIONS, FIFTH EDITION, R. Carroll, Editor. San Francisco: Jossey-Bass, 2006.

D. Murphy, K. Shannon, and G. Pugliese, "Patient Safety and the Risk Management Professional: New Challenges and Opportunities," in RISK MANAGEMENT HANDBOOK FOR HEALTHCARE RISK ORGANIZATIONS, FIFTH EDITION, R. Carroll, Editor. San Francisco: Jossey-Bass, 2006.

F. Rozovsky, "Informed Consent as a Loss Control Process" in RISK MANAGEMENT HANDBOOK FOR HEALTHCARE ORGANIZATIONS, FIFTH EDITION, R. Carroll, Editor. San Francisco: Jossey-Bass, 2006.

S. Zimmet, "Ethics in Patient Care," in RISK MANAGEMENT HANDBOOK FOR HEALTHCARE ORGANIZATIONS, FIFTH EDITION, R. Carroll, Editor. San Francisco: Jossey-Bass, 2006.

Perspective on Disclosure of Unanticipated Outcomes of Care, ASHRM White Paper, July, 2001.

ASHRM Disclosure Monograph Series (1-3), 2003.

Recommended Reading:

G. Amori, "Communication with Patients and Other Customers: The Ultimate Loss Control Tool," in RISK MANAGEMENT HANDBOOK FOR HEALTHCARE ORGANIZATIONS, FOURTH EDITION, R. Carroll, Editor. San Francisco: Jossey-Bass, 2003.

Born-Alive Infants Protection Act of 2002, PL 107-207, August 5, 2003.

R. Burroughs and B. Dmytrow, "Risk Management and Behavioral Health" in RISK MANAGEMENT HANDBOOK FOR HEALTHCARE ORGANIZATIONS, FOURTH EDITION, R. Carroll, Editor. San Francisco: Jossey-Bass, 2003.

Clinical Research Compliance Manual, P. Brent, Editor. Chicago, Aspen Publishers, 2006.

"Closing the Quality Gap: A Critical Analysis of Quality Improvement Strategies, Volume 6 – Prevention of Healthcare-Associated Infections", AHRQ *Evidence Report/Technology Assessment* No. 9. January, 2007.

Common Rule for Human Research Protection, 45 Code of Regulations Part 46.

“Critical Care Decisions in Fetal and Neonatal Medicine: Ethical Issues”, Nuffield Council on Bioethics, November 2006, available at http://www.nuffieldbioethics.org/fileLibrary/pdf/CCD_web_version_8_November.pdf

P. Cwik, “Home Care Services, “ in RISK MANAGEMENT HANDBOOK FOR HEALTHCARE ORGANIZATIONS, FOURTH EDITION, R. Carroll, Editor. San Francisco: Jossey-Bass, 2003

B.A. Laing, “The Adverse Event of Unaddressed Medical Error: Identifying and Filling the Holes in the Health-care and Legal Systems. JOURNAL OF LAW, MEDICINE & ETHICS, 29: 346 (2001).

R.J. and K.C. Latino, *Root Cause Analysis: Improving Performance for Bottom Line Results*. New York: CRC Press, 2nd Edition, 2002.

A.J. Markowitz., Editor. *Making Health Care Safer: A Critical Analysis of Patient Safety Practices*, AHRQ Evidence Report/Technology Assessment No. 43, July, 2001.

National Standards on Culturally and Linguistically Appropriate Services (CLAS) in Health Care, Federal Register 65(247): Pp. 80865, et seq, December 22, 2000.

Patient Self-Determination Act of 1990 PL 101-508 1990 as amended.

Patient Self-Determination Regulations, Federal Register 57: 8203, et seq., March 5, 1992.

“Policy Guidance on the Prohibition Against National Origin Discrimination As it Affects Persons with Limited English Proficiency.” Federal Register 65 (169): 52762, et seq, August 30, 2000.

Practice Brief: “Laws and Regulations Governing the Disclosure of Health Information.” AHIMA Updated 2002.

“Practice Brief: Release of Information Laws and Regulations.” AHIMA January, 1999.

“Preserving Confidentiality of Peer-Review Records” HEALTHCARE RISK CONTROL, Risk and Quality Management Strategies 13 (March, 2000).

“Risk Management Pearls for Obstetrics.” ASHRM PEARLS series.

“Risk Management Pearls for Medication Error Reduction.” ASHRM PEARLS series.

Risk Management Toolkit series. ASHRM

F.A. Rozovsky, *Consent to Treatment: A Practical Guide*, Fourth Edition. New York: Aspen Publishers, 2007.

F.A. Rozovsky, *CONSENT TO TREATMENT: A PRACTICAL GUIDE, FOURTH EDITION*. Gaithersburg, Maryland: Aspen Publishers, (December, 2002 Supplement) Excerpt.

F.A. Rozovsky, and R. Adams, CLINICAL TRIALS: A PRACTICAL GUIDE TO REGULATORY COMPLIANCE. San Francisco: Jossey-Bass, 2003.

F.A. Rozovsky and Jane L. Conley, Health Care Organizations Risk Management: Forms, Checklists, Guidelines, Second Edition, New York: Aspen Publishers, 2007.

F.A. Rozovsky and S.T. Goodwin, "Teleradiology: Compliance Concerns and Solutions, Part I", Journal of Health Care Compliance, January-February 2007.

F.A. Rozovsky and S.T. Goodwin, "Teleradiology: Compliance Concerns and Solutions, Part II", Journal of Health Care Compliance, March-April 2007.

42 United States Code §§663;666a Child Support and Establishment of Paternity.

"What Did the Doctor Say?": Improving Health Literacy to Protect Patient Safety, Joint Commission, January 2007.

J.R. Woods and F.A. Rozovsky, WHAT DO I SAY? San Francisco: Jossey-Bass, 2003.

Credentialing Applications Module 2007

Required Reading:

M. Kadzielski, "Physician and Allied Health Professional Credentialing," in RISK MANAGEMENT HANDBOOK FOR HEALTHCARE ORGANIZATIONS, FIFTH EDITION, R. Carroll, Editor. San Francisco: Jossey-Bass, 2006.

Recommended Reading:

Pandemic and All-Hazards Preparedness Act of 2006, Public Law 109-417.

F.A. Rozovsky and C. Giles, *Health Care Credentialing: A Guide to Practical Innovations*, New York: Aspen, 2007.

Laws and Regulations Affecting Risk Management Applications Module 2007

Required Reading:

M. Cohen, "Statutes, Standards and Regulations," IN RISK MANAGEMENT HANDBOOK FOR HEALTHCARE ORGANIZATIONS, FIFTH EDITION. R. Carroll, Editor. San Francisco: Jossey-Bass, 2006.

F. Rozovsky, "How to Use and Understand Statutes, Regulations, Guidelines, Interpretations and Model Guidance," 2003 ASHRM Monograph Series.

J. West. "An Introduction to American Law"

Recommended Reading:

Deficit Reduction Act of 2005, Public Law 109-171.

Guidance for Industry and FDA Staff: Medical Device User Fee and Modernization Act of 2002, Validation Data in Pre-Market Notification Submissions (510(k)s) for Reprocessed Single Use Medical Devices, July 8, 2003.

R. Fabrikan, P.E. Kalb, M.D. Hopson, and P.H. Bucy, HEALTH CARE FRAUD ENFORCEMENT AND COMPLIANCE. New York: Law Journal Press, 2002.

P. Pavarini, Editor. UNITED STATES HEALTH CARE LAWS & RULES. Washington, D.C.: American Health Lawyers Association – West Group, 2002.

J. Steiner, Editor. 2007 HEALTH LAW AND COMPLIANCE UPDATE. Chicago: Wolters Kluwer, 2007.

Health Insurance Reform: Modifications to Electronic Data Transaction Standards and Code Sets, Federal Register: Vol. 68, No. 34, pp. 8381-8399.

Health Insurance Reform: Security Standards; Final Rule. Federal Register: Vol.68, No. 74, pp. 18895-18906, April 17, 2003.

Draft, Financial Relationship and Interests in Research Involving Human Subjects: Guidance for Human Subject Protection. Federal Register: Vol. 68, No. 61, pp. 15456-15460. March 31, 2003.

How to File a Health Information Privacy Complaint with the Office of Civil Rights. HHS Fact Sheet, April 14, 2003.

Protecting Personal Health Information in Research: Understanding the HIPAA Privacy Rule, NIH Publication No. 03-5388, April, 2003.

Tax Relief and Health Care Act of 2006, Public Law No.: 109-432.

Selected Websites – Last Visited March 2007:

HHS Office of the Inspector General

<http://oig.hhs.gov/>

HIPAA Administrative Simplification

<http://aspe.hhs.gov/admsimp>

Office for Civil Rights – HIPAA, Medical Privacy – National Standards to Protect the Privacy of Personal Health Information

<http://www.hhs.gov/ocr/hipaa/>

U.S. Department of Education FERPA Web Site

<http://www.ed.gov/offices/OM/fpco/ferpa/index.html>

U.S. Department of Justice Privacy Act Web Site

<http://www.usdoj.gov/04foia/1974intro.htm>